Seeking Drug Approval via the 505(b)(2) NDA Option

Introduction

Today, many pharmaceutical companies—generic or innovative, large or small, privately held or publicly traded—are choosing the 505(b)(2) New Drug Approval (NDA) regulatory pathway. The 505(b)(2) NDA route relies on investigations not conducted by or for the applicant, or on investigations for which the applicant has not obtained a right of reference or use from the person who conducted the original investigations [1]. To submit 505(b)(2) NDAs, applicants rely on published literature of clinical studies and/or the FDA's filing of safety and efficacy data for a previously approved drug. Many pharmaceutical companies are unaware of the resources required to maximize the benefits of 505(b)(2) applications.

The Hatch-Waxman Act

Amendments made to the Federal Food, Drug, and Cosmetic Act (FDCA) in 1984 were incorporated into the Drug Price Competition and Patent Term Restoration Act, commonly known as the Hatch-Waxman Act. This Act was created to find common ground for promoting innovation and allowing generic competition in the pharmaceutical industry. What the Hatch-Waxman Act does is provide an expedited means for obtaining approval for a generic drug through an abbreviated new drug application (ANDA) that builds upon the innovating company-sourced proprietary safety and effectiveness data of a drug that has already received Food and Drug Administration (FDA) approval [2].

The 505(b)(2) NDA Option

The 505(b)(2) NDA option was initiated through the Hatch-Waxman Act. A 505(b)(2) application is slightly different from an ANDA. An ANDA proves that the product is identical to a previously approved product based on chemistry and bioequivalence data, without the need for preclinical or clinical data for safety and efficacy. A 505(b)(2) NDA, on the other hand, requires a combination of new preclinical or clinical data and already approved studies. Moreover, 505(b)(2) applications qualify for 3 or 5 years of market exclusivity, whereas ANDAs only qualify for 180 days.

You can determine whether your drug is suitable for approval via the 505(b)(2) NDA route by checking the FDA’s 1999 draft guidance Applications Covered by Section 505(b)(2). According to the guide, applications for which a pharmaceutical product may be approved via the 505(b)(2) route include, but are not limited to, the following:

- Change of dosage form or dosage regimen
- Conversion to lower or higher strength
- Change in the route of administration
- Substitution of an active pharmaceutical ingredient in a combination product
- Change in formulation
- New molecular entity (NME)
- Conversion from prescription drug (Rx) to over-the-counter (OTC) drug
- Drug with new ingredients from animal or botanical sources (naturally derived or recombinant)
- A bioequivalent product

Summary of Benefits

Benefits of 505(b)(2) NDAs include:

- Rely on already established and approved safety and efficacy data (only new clinical data required)
- Patent protection for any modifications
- Three (3) or 5 years market exclusivity: five (5) years of exclusivity for the first approval of a new chemical entity, and 3 years of exclusivity after an active pharmaceutical ingredient (API) has been approved for the first time, in a drug requiring one or more new clinical studies. If product is an orphan drug, exclusivity could be up to 7 years.
Statistics

At least 185 505(b)(2) NDAs have been approved since the Hatch-Waxman amendments. Out of 115 approvals between 1998 and 2009, 30 percent contained amended dosage forms such as alternate molecular forms of APIs, and 15 percent consisted of new API combinations [3]. Ten percent were approved without human or animal studies. An additional 30 percent required pharmacokinetic studies, but no human or animal studies. Overall, 10 percent were approved with single well-controlled clinical trials [3].

Ideal for Smaller Pharmaceutical Firms

Many pharmaceutical companies, generic as well as innovative, are leveraging their resources and making a shift toward the potential added value and profit associated with complex drug formulations. Smaller pharmaceutical firms do not usually have the in-house resources for research to file an NDA for a new chemical entity. Those firms are also unlikely to have the full in-house capacity needed to do the work of filing or to conduct a thorough literature review to investigate earlier studies on reference drugs, and often benefit from hiring external experts or consultants with a wealth of experience in fulfilling the complex requirements of 505(b)(2) NDA applications to get the job done. Contracting integrated 505(b)(2) NDA services is more cost- and time-effective for such firms [3]. Such services can cut costs and shorten timelines by avoiding repetition of clinical trials of previously approved drugs.

References

